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| **AUTHOR:** | **CHECKED:** | **DATE** | **APPROVALS** | | |
| **DCN NO.** | **REV** | **DATE** |
| **G. Billingsley** |  | **2-01-20** | **E2000127** | **V1** | **2-01-20** |

**Applicable Documents and Revisions**

[LIGO-D080658-v5](https://dcc.ligo.org/LIGO-D080658/public) aLIGO COC End Test Mass (ETM) Substrate  
[LIGO-D080055-v1](https://dcc.ligo.org/LIGO-D080055/public) Fused Silica Blank End Test Mass   
[LIGO-E080047-v1](https://dcc.ligo.org/LIGO-E080047/public) Fused Silica Blank End Test Mass  
[LIGO-D0902455-v3](https://dcc.ligo.org/LIGO-D0902455/public) aLIGO COC End Test Mass (ETM) Substrate Assembly

**Requirements**

**Physical Configuration**

According to LIGO-D080658 aLIGO COC End Test Mass (ETM) Substrate

**Fabricate from**

LIGO-D080658 aLIGO COC End Test Mass (ETM) Substrate  
 OR  
 LIGO-D080055 Fused Silica Blank End Test Mass  
 OR  
 LIGO-D0902455-v3 aLIGO, COC End Test Mass (ETM) Substrate Assembly

Registration Marks

Registration marks shall be etched, ground or sandblasted and located per LIGO-D080658

Polishing process

Ion Beam Figuring removal processes should be designed to minimize the probability of defects in the center 160 mm diameter. All Surfaces, Sides and Bevels shall be polished using a progression of smaller grit sizes. The last step before final polish shall be equal to or less than a five µm grit finish.

Surfaces, Sides and Bevel Polish

All surfaces shall appear transparent with no grey, checks or fractures visible to the naked eye when viewed in normal room light against a black background. Scuffs are limited to a total sum area of less than 8 square millimeters. Scratches are limited to a total sum area of less than 4 square millimeters. The cross hatched bonding area on S3 and S4 shall appear transparent with no grey, scuffs or scratches visible to the naked eye when viewed in normal room light against a black background.

**Bevel**  Bevel for safety per LIGO-D080658

**Serial Number**

Each optic will have a serial Number “ETMXX” shall be shall be etched, ground or sandblasted on the barrel of the optic per D080658 where XX is incremental and the starting number supplied with contract.

**Scratches, Sleeks and Point defects**

Point defects of radius greater than 25 micrometers are treated like scratches for the purpose of this specification.

**Scratches and Sleeks, Surface 1**

The total area of scratches and sleeks within the central 120 mm diameter shall not exceed 20 X 103 square micrometers (width times length.)

The total area of scratches and sleeks inside 300 mm and outside the central 120 mm diameter shall not exceed 500 X 103 square micrometers (width times length.)

**Scratches and Sleeks, Surface 2**

The total area of scratches and sleeks within the central 120 mm diameter shall not exceed 1000 X 103 square micrometers (width times length.)

**Point Defects, Surface 1**

There shall be no more than 10 point defects of radius greater than 2 m within the central 120 mm diameter. There shall be no more than 100 point defects of radius greater than 2 m on the entire surface, inspected out to 300mm diameter. Average density of defects less than 2m radius must be less than or equal to 1 per 4 mm2

**Point Defects, Surface 2**

There shall be no more than 100 point defects of radius greater than 2 m within the central 120 mm diameter

**Scratch and Point Defect Inspection Method**

1. The surface is examined visually by two observers independently. The examination is done in a dark room, against a dark background using an illumination system of at least 150 W total power. A 100% inspection of the surface is carried out. Pits and scratches down to 2 micrometers in width can be detected using this method of inspection. Any scratches or sleeks that are detected will be measured using a calibrated eyepiece.

2. Further inspection will be done with a minimum 6X eyeglass using the same illumination conditions, again with two observers. Sleeks down to 0.5 micrometers wide can be detected using this method. The surface will be scanned along one or two chords from centre to edge, then at ten positions around the edge, and ten to fifteen positions near the centre.

**Surface Figure, measured over the central 160 mm diameter**

**Surface 1:** Spherical, concave. Radius of curvature: 2245 m -5, +15 m absolute accuracy   
ROC precision: R ± 3 m where 2240 m ≤ R ≤ 2260 m for all ETM optics

**Surface 2:** Nominally flat. ROC > | 7000 | m

**Surface Error, Low Spatial Frequency: measurement aperture to 1 mm-1**

The following root mean square standard deviation (σrms) values are calculated from the phase maps which are to be provided with each optic. For this calculation the amplitudes for the best fit piston, tilt and power, or corresponding Zernike coefficients, are subtracted from the phase map. Known bad pixels may be excluded from this calculation.

**Surface 1, Frequency Band: < 1 mm -1** Measured over the central 300 mm diameter aperture: σrms < 2.5 nanometers   
Measured over the central 160 mm diameter aperture: σrms < 0.3 nanometers

**Surface 2 - Frequency Band: < 1 mm -1** Measured over the central 300 mm diameter aperture: σrms < 40 nanometers

**Error, High Spatial Frequency: 1– 750 mm -1**

Surface 1 HSF error σrm**s** < 0.16 nanometers measured at the following locations:   
1. Within 2mm of the center of the surface.  
2. Four positions equally spaced along the circumference of a centered, 60 mm diameter circle.   
3. Three positions equally spaced along the circumference of a centered, 120 mm diameter circle.

Surface 2 HSF error σrm**s** < 2 nanometer measured at the following location:   
1. Within 2mm of the center of the surface.

Mounting Flat Figure, measured over the Bond Area per D080658, 2 places

Flatness: Peak to valley maximum deviation over the bond area, with tilt subtracted: < 60 nm  
In the frequency band < 0.5 mm-1: σrms < 20 nm

**Inspection**

1. **Table 1: Inspections**

|  |  |  |  |
| --- | --- | --- | --- |
| Specification | Test Method and frequency | Data Delivered | |
| Dimensions | Measurement 100% | Measurement Results | |
| Scratches and Point defects methods 1 and 2 | Visual Inspection 100% | Hand sketch including scratch/pit dimensions | |
| Figure | Interferometry  100% | Surface phase maps | |
| Errors - Low Spatial Frequency | Interferometry  100% | Surface phase maps | |
| Errors - High Spatial Frequency | Interferometry  100% | Surface maps for 3 central locations. Numerical values included with certification | |
| Mounting Flat figure, S3 and S4 | Interferometry 100% | Surface phase map | |

Orientation: For the purpose of full surface phase maps the data are oriented such that the substrate registration mark is at the top center of the data.

Format: All Data are delivered according to Table 1 in electronic form. In addition to the report, an electronic data set of the phase maps are delivered in either ASCII or Metropro.dat format.

**Inspection**

**The following change in E080512 is acceptable**:

1) Replace defect inspection method #2 with use of a Phase Measuring Microscope (PMM) with 2.5x magnification to aid in quantifying defects.

**Sleeks**

Sleeks may be allocated to the HIGH SPATIAL FREQUENCY ERROR requirement rather than the “Scratches, Sleeks and Point Defects” requirement per LIGO-C1000393 “ASML proposal on allocating surface defects to RMS roughness on LIGO ITM surfaces” as follows.

**Allocating surface defects to RMS roughness on LIGO Test Mass surfaces**

In order for a defect to be counted as part of the surface roughness specification allocation, it must be measurable by PMM, have an amplitude of less than 100nm, and its contribution to the local surface RMS roughness be quantifiable. It is expected that sleeks may qualify for this but not scratches. Scratches will be counted as part of the area exclusion allocation.

To properly add the contribution of a single defect to the total accumulated RMS surface roughness the RMS of local defect area must be statistically added to the total surface area roughness.

The following equation is believed to accurately make this calculation. The RMS is assumed to be the RMS deviation, relative to a best fit plane.



RMSLocal = RMS of local area containing the sleek   
AREALocal = Area of local RMS

RMSFull = RMS surface roughness of total area, excluding the effect of the defect   
AREAFull = Total Area of 120 mm diameter circle, excluding the area of the sleek

RMSTotal = RMS surface roughness of total area, including the effect of the defect

Example 1:

RMS of 1 PMM image containing 1/20th of the defect = 3nm. The defect is 5mm, and extends over 20 PMM images

Size of PMM image = 0.25mm x 0.25mm

RMSLocal = 3.0nm  
AREALocal = 0.25 x 0.25 x 20 = 1.25mm2. This is the total estimated area of the affected zone, represented by the 3.0nm RMS.

RMSFull = 0.12nm  
AREAFull = 11309.7mm2 - 1.25mm2

RMSTotal , RMS surface roughness of total area plus defect = 0.124nm

Example 2:

The system also works using only the 3D diminutions of only the defect itself. Sleek 20mm long, 1micron wide, 10 nm deep

In center 120mm Aperture of ITM R1

RMSLocal = 10nm   
AREALocal = 0.02mm2

RMSFull = 0.12nm  
AREAFull = 11309.7mm2 - 0.02mm2

RMSTotal , RMS surface roughness of total area plus defect = 0.121nm