

Review of Ion Beam Sputtering

Ramin Lalezai

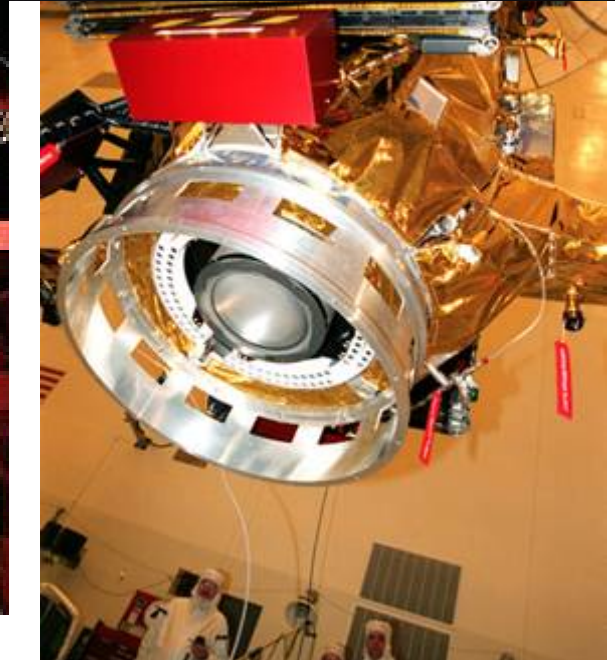
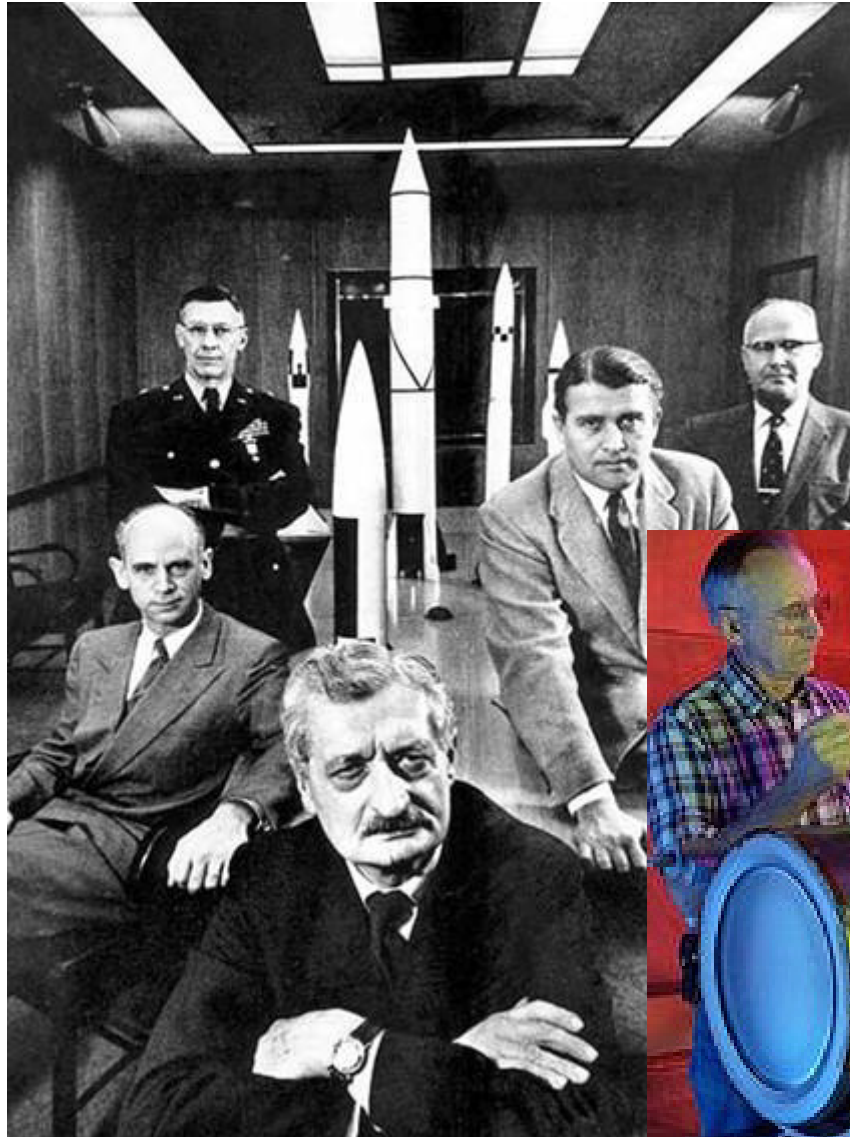
ramin@atfilms.com, voice: 720-494-4194 x-26

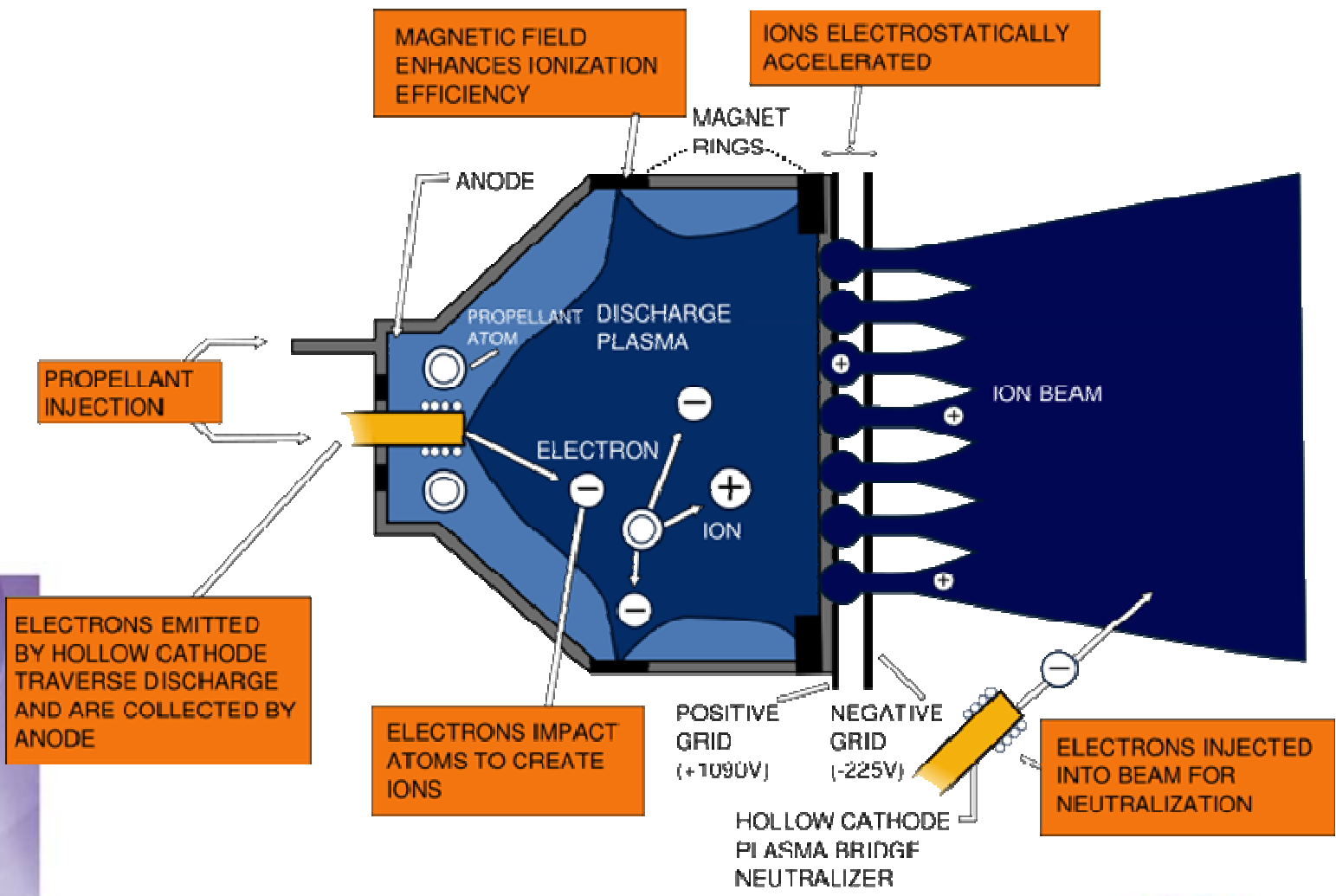
Advanced Thin Films, 105 S. Sunset St Suite G, Longmont, CO 80501

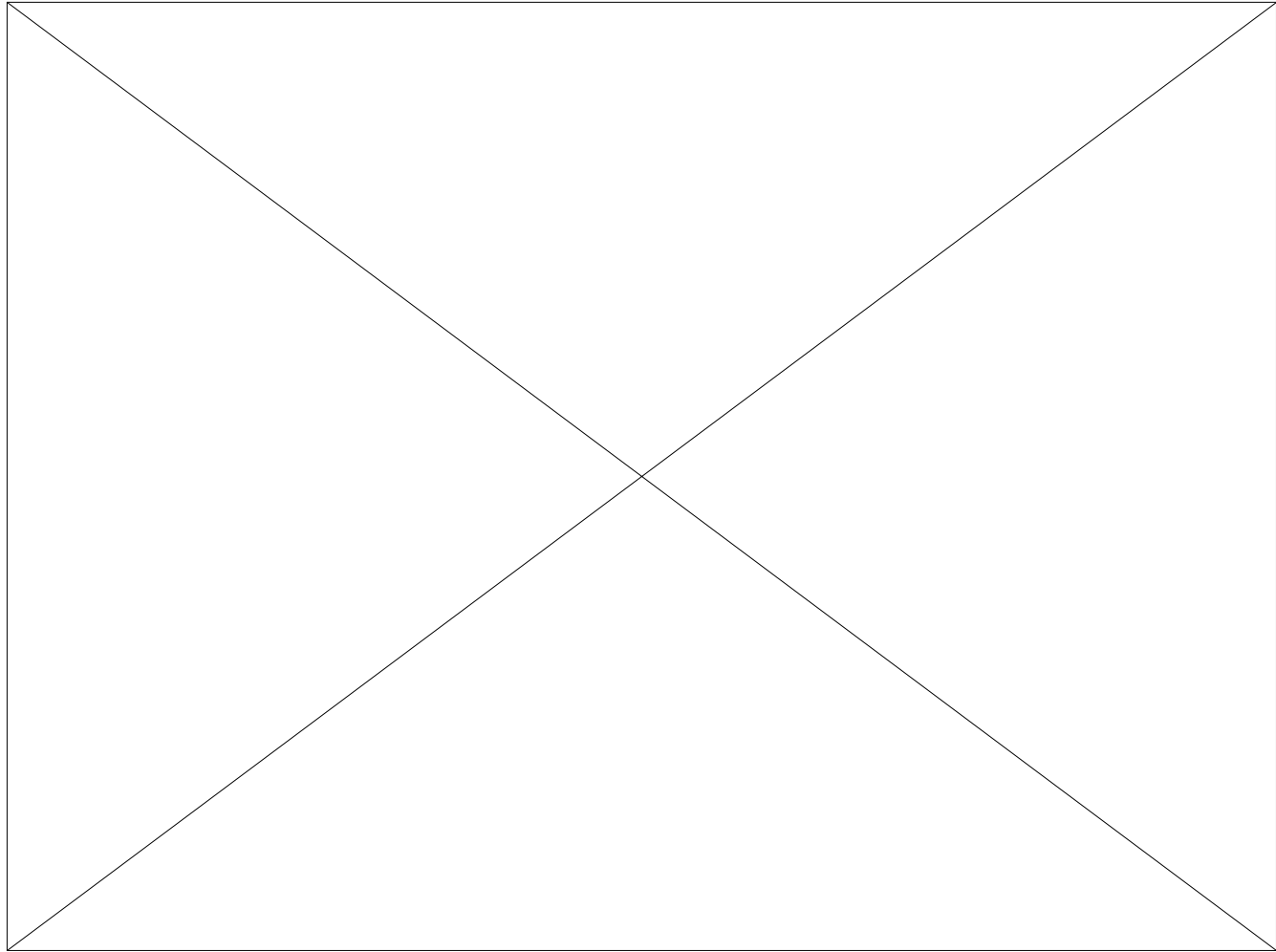
- .History of the Kaufman ion source**
- .Early days of ion beam sputtering**
- .The modern IBS machines**
- .Characteristics of IBS optical coatings**
 - environmental stability**
 - losses**
 - stress**
- .Materials which can be deposited using IBS**
- ."Intrinsic" contaminants in IBS films**

The logo for ATFilms features the word "ATFilms" in a black, sans-serif font. Above the letters "AT" is a red, wavy graphic element that resembles a stylized wave or a film strip edge.

Herman Oberth and the ABMA rocket team (Early 1950s)







Ion beam sputtering of optical coatings was invented by technologists at Litton in the early 1970s.

United States Patent [9] [11] # **Patent Number: Re. 32,849**
Wei et al. [45] **Reissued** **Date of Patent: Jan. 31, 1989**

[54] **METHOD FOR FABRICATING MULTI-LAYER OPTICAL FILMS**
 [75] **Inventors:** David T. Wei, Malibu; Anthony W. Lunderback, Oxn., both of Calif.
 [73] **Assignee:** Litton Systems, Inc., Woodland Hills, Calif.
 [21] **Appl. No.:** 751,216
 [22] **Filed:** Jul. 2, 1985

guides by Ion Beam Sputtering", *J. Vac. Sci. Technol.*, vol. 13, pp. 104-106 (1976).
 C. Mirman et al., "Co-Sputtered Optical Films," *Fabrication*, vol. 27, pp. 403-406 (1977).
 W. Lazavsky, "Advances in Low-Energy Ion Beam Technology," *Research Development*, Aug. 1975, pp. 47-48, 50, 52, 54-55.

Primary Examiner—Aaron Weinstein
Agency *Agent of Patent Rights & Lic.*

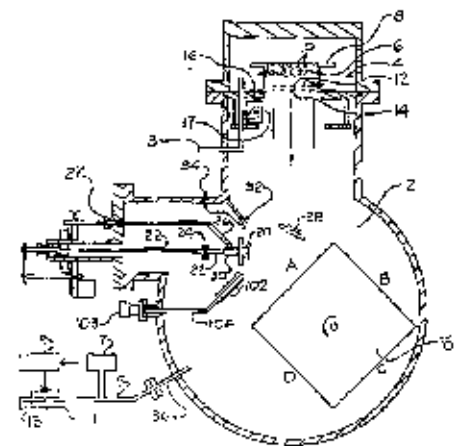
ABSTRACT
 A method for fabricating multiple layer interference optical films by ion beam sputtering, said films being used for mirrors in a ring laser apparatus. An ion beam strikes a target material obliquely, dislodging molecules of the target so that they can be deposited on a surface serving as a base for a multiple layer interference coating. The thickness of the coating is monitored so that the proper thickness of a given layer can be optimized to obtain the type of reflectance desired for a given light wave length. The surface to be coated is rotated during the deposition of the layer of target material. A stack of layers of alternating indices of refraction comprises the optical interference film. The coating process occurs inside of a vacuum chamber where the partial pressures of the gases are carefully controlled to insure the proper ion beam intensity and optimum stoichiometry of the deposited optical films. Prior to beginning the deposition of optical films, the ceramic substrate comprising the mirror base is bombarded by the ion beam at an oblique angle to remove surface impurities and clean it.

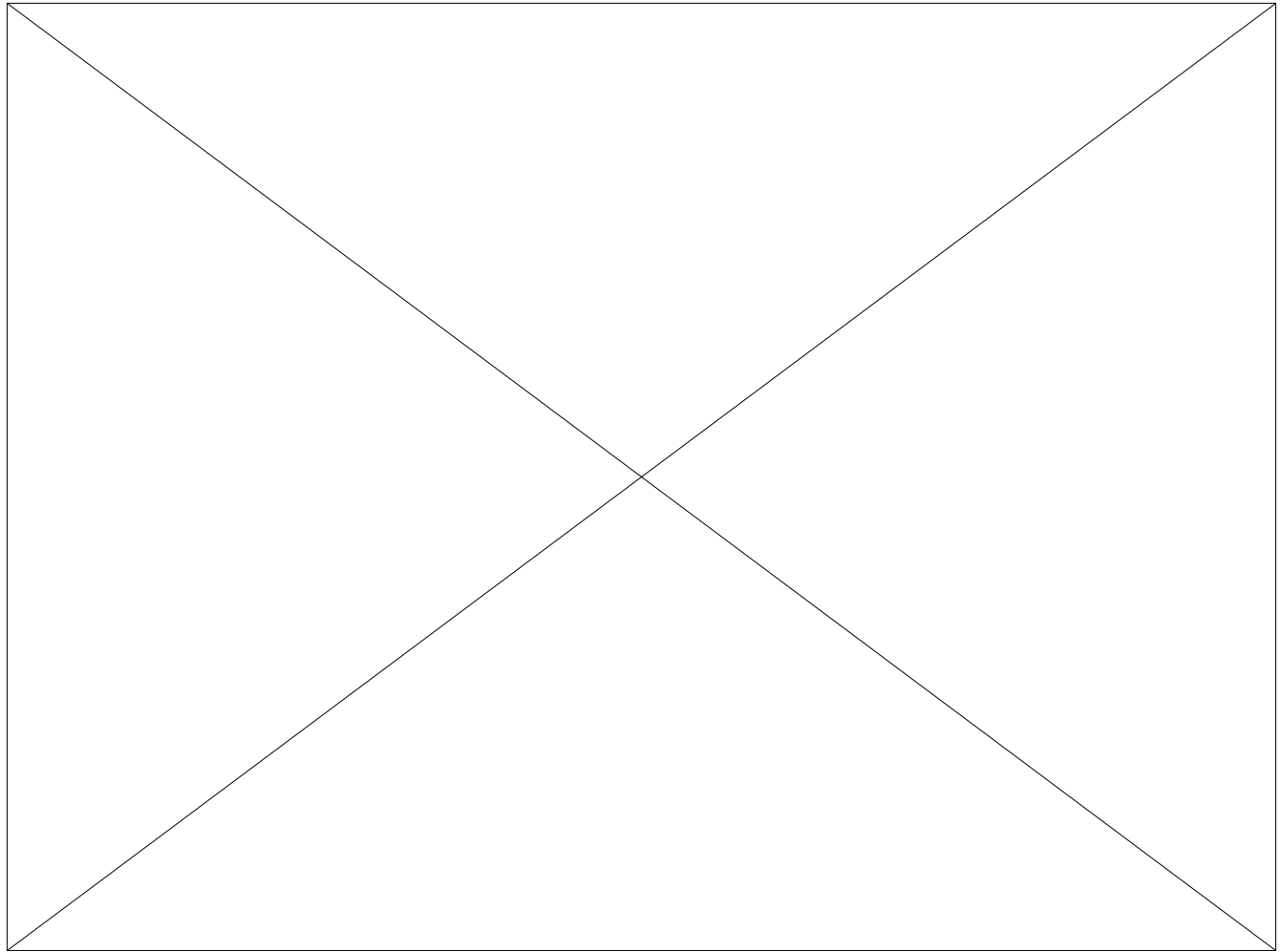
Related U.S. Patent Documents
Reissue of:
 [64] **Patent No.:** 4,142,958
Issued: Mar. 6, 1979
Appl. No.: 896,133
Filed: Apr. 13, 1978
 [51] **Int. Cl.:** C23C 14/46
 [52] **U.S. Cl.:** 204/192.2; 204/298
 [58] **Field of Search:** 204/192 R, 192 C, 192 F, 204/298, 192.26, 192.27

References Cited
U.S. PATENT DOCUMENTS
 1,247,592 4/1966 Thelen 250/226
 3,833,602 7/1973 Seabard 118/726
 3,825,167 12/1973 Bennett 204/298
 4,051,840 7/1977 Kikozian et al. 204/192 F
 4,059,349 6/1978 Mills 250/641

OTHER PUBLICATIONS
 W. D. Westwood et al., "Fabrication of Optical Wave-

22 Claims, 3 Drawing Sheets





Technology

Ion Beam Sputtering

- Dense films (not porous)
- Excellent adhesion
- Stable and durable
- No spectral shift
- Low loss
- Easy to clean
- Indefinite lifetime



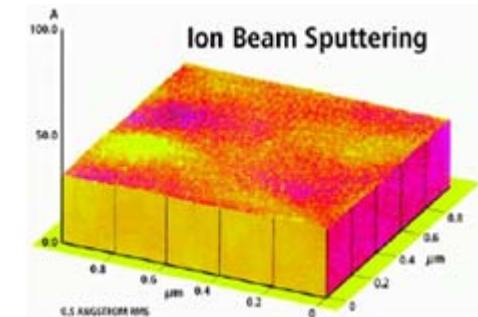
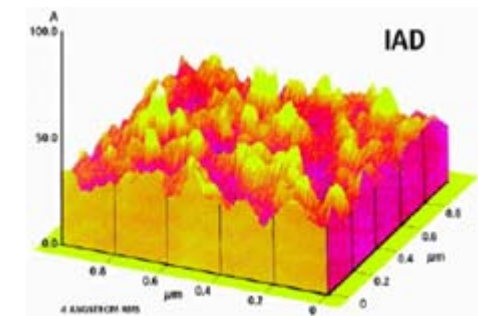
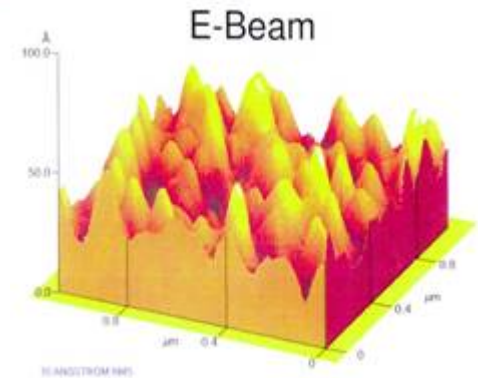
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Comparison of E-beam evaporated, IAD, and IBS coatings

E-beam: Porous. Columnar structure. High scatter. Poor environmental stability.

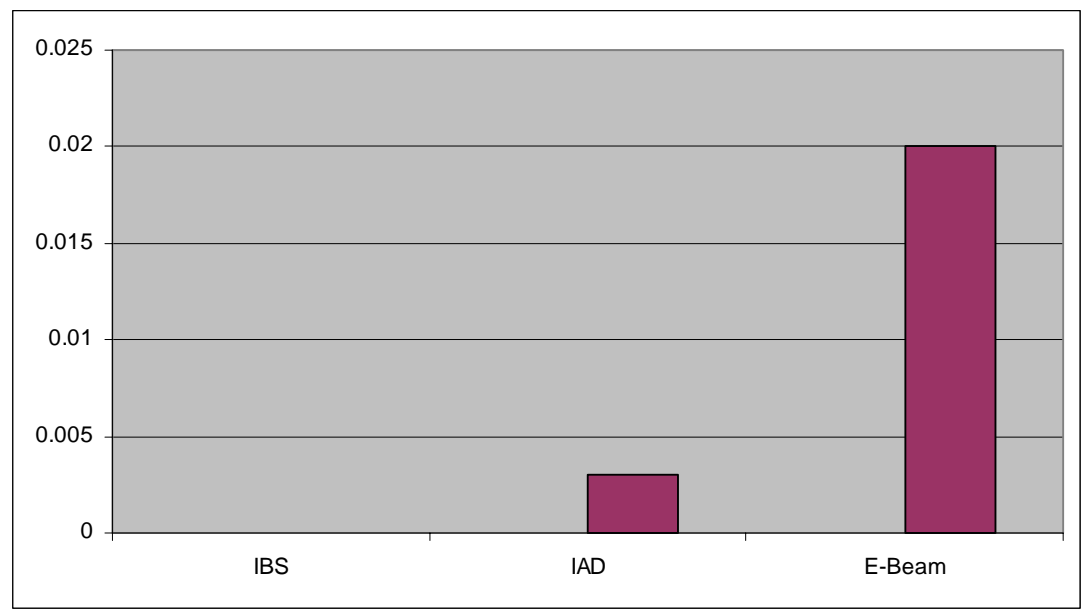
IAD: Increased density. Reduced porosity. High stress. Improved environmental stability.

IBS: Dense films. Minimal structure. Low scatter. No porosity. Excellent environmental stability

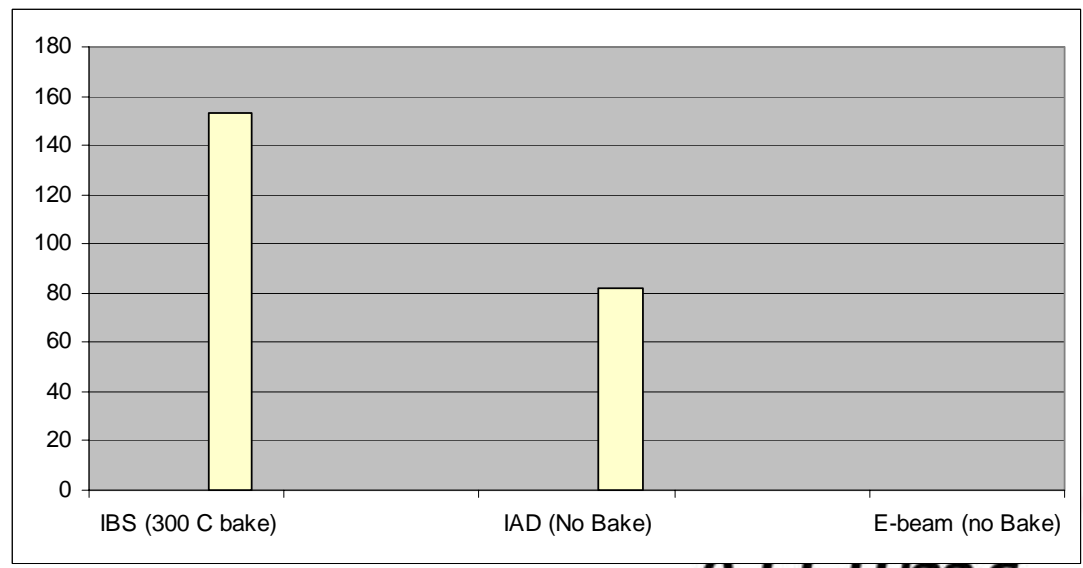


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Spectral shift due to moisture (%).



Stress coefficient of mirror coatings (MPa).



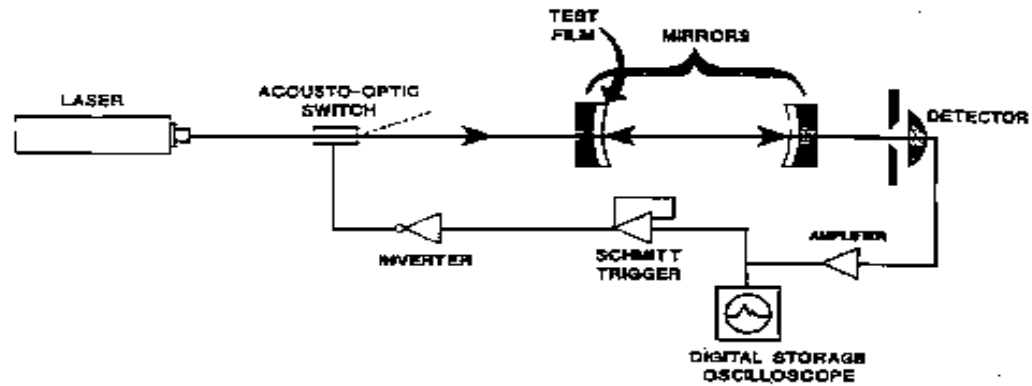


Figure 1: Instrumentation for cavity decay measurements.

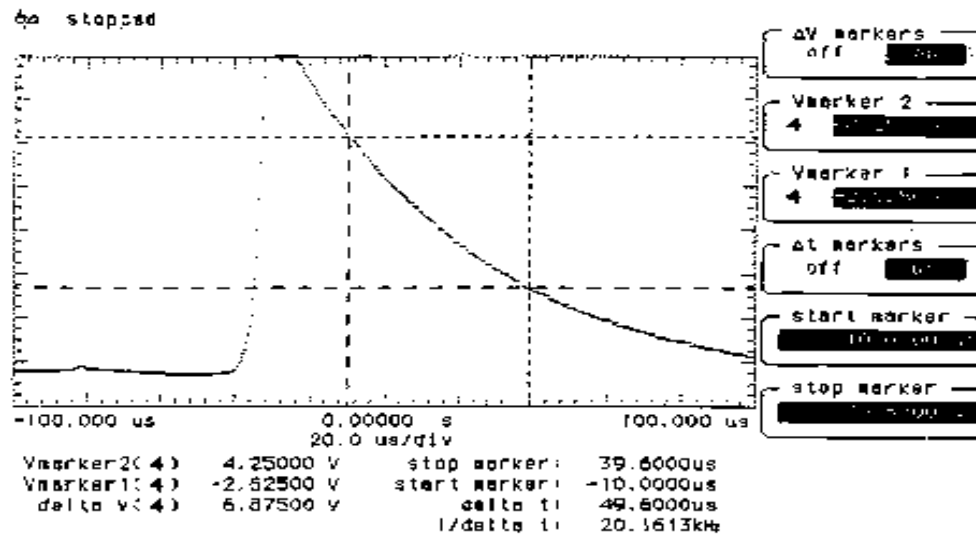


Figure 2: Oscilloscope output showing a decay curve.

Measured Losses

λ	material	Subs	Trans ppm	Total loss ppm	A&S ppm measured by			
308nm	HfO2	ATF	1260	1795	535	Mark Yeo	CU	
369nm	Ta2O5	ATF-2.5cm	230	362	132	Andrew Grier	MIT	V4-664
369nm	Ta2O5	ATF-10cm	230	302	73	Andrew Grier	MIT	
405nm	Ta2O5	ATF	15	39	24	Steve Brown	NOAA	
514nm	Ta2O5	ATF	6	10	4	Steve Brown	NOAA	
635nm	Ta2O5	ATF	4	8	4	RL	ATF	V5-1 qualification V3-320 JILA
698nm	Ta2O5	GO	4	6	2	RL	ATF	Cavity
852nm	Ta2O5	ATF&REO	0.4	2.1	<2	Tracy Northup	Cal Tech	
1064nm	Ta2O5	GO	73	?	<0.5*	LIGO		* Absorption only
1392nm	Ta2O5	ATF	4	36	32	Tiger		Regular Process
1392nm	Ta2O5	ATF	4	7	3	Tiger		Low water films



Materials which can be grown using IBS

Most oxides

Ta₂O₅, Nb₂O₅, V₂O₅, TiO₂, ZrO₂, HfO₂,
Al₂O₃, Y₂O₃, SiO₂, ...

Most nitrides

Si₃N₄, AlN, TiN, ...

Most elements

Si, Al, Pt, ...

IBS is generally not suitable for the deposition of fluorides and zinc compounds.

Intrinsic Contaminants in IBS Films

- 1- Argon (working gas)
- 2- Water (typically the last element in the vacuum system)