



# Understanding Combined Results From Multiple GW Searches Using Information Theory

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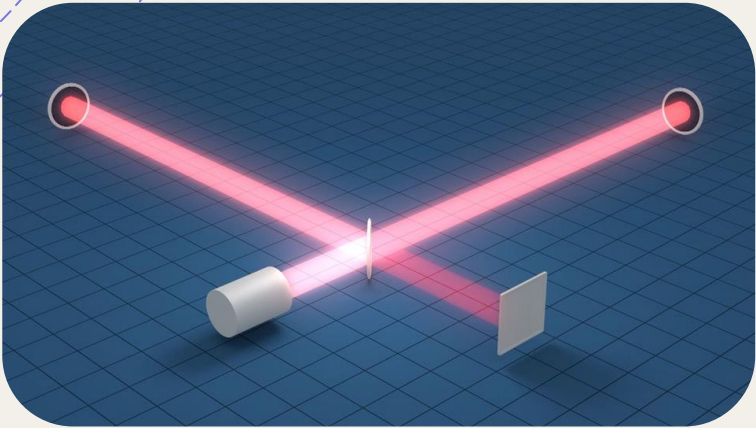
LIGO SURF 2023



Caltech



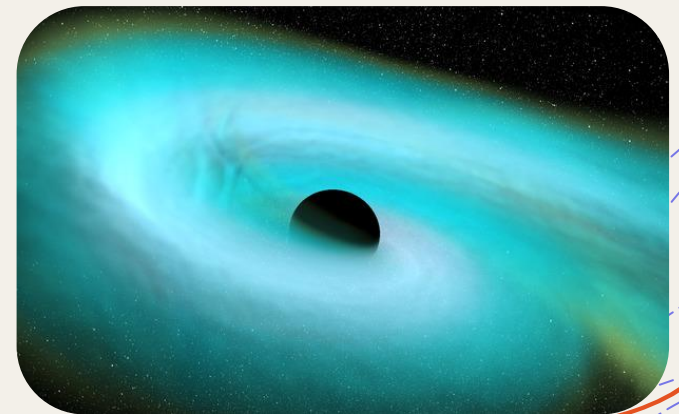
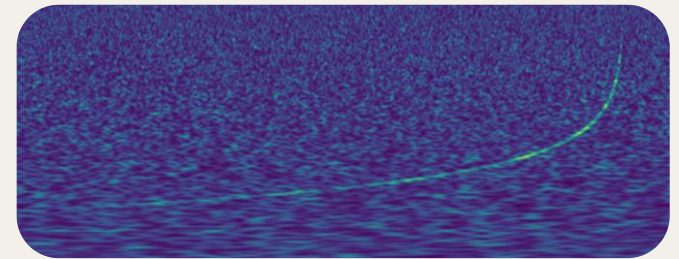
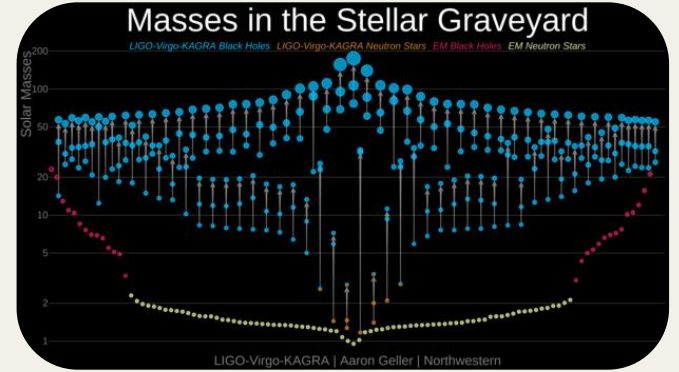
# Motivation



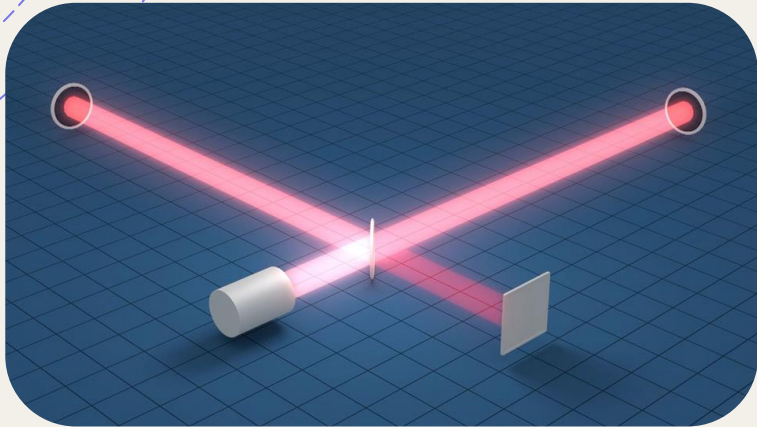
Detector Data



Astrophysics



# Motivation



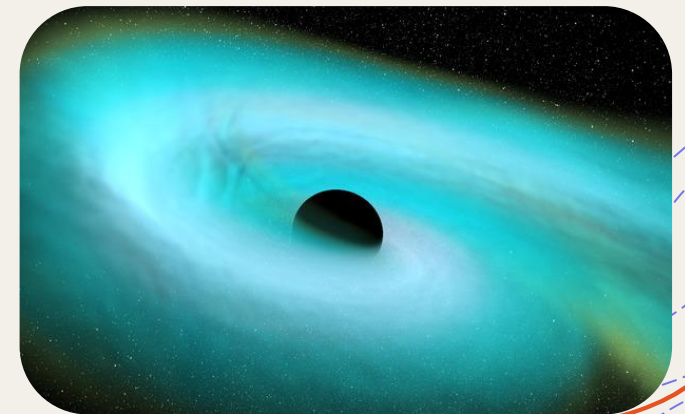
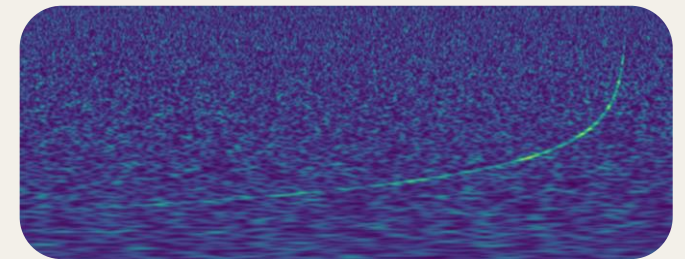
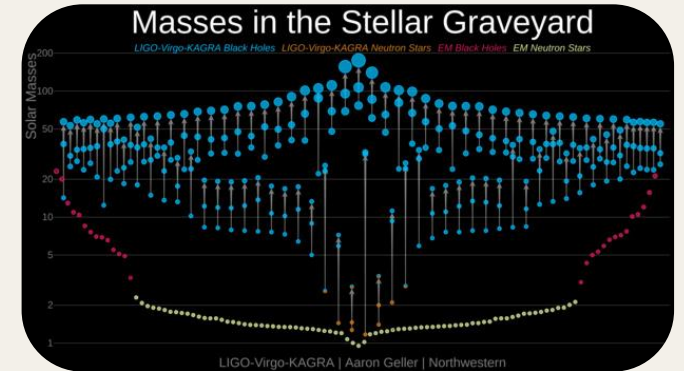
WB

Search Pipelines



PyCBC

gstLAL



# Search Pipelines

PyCBC

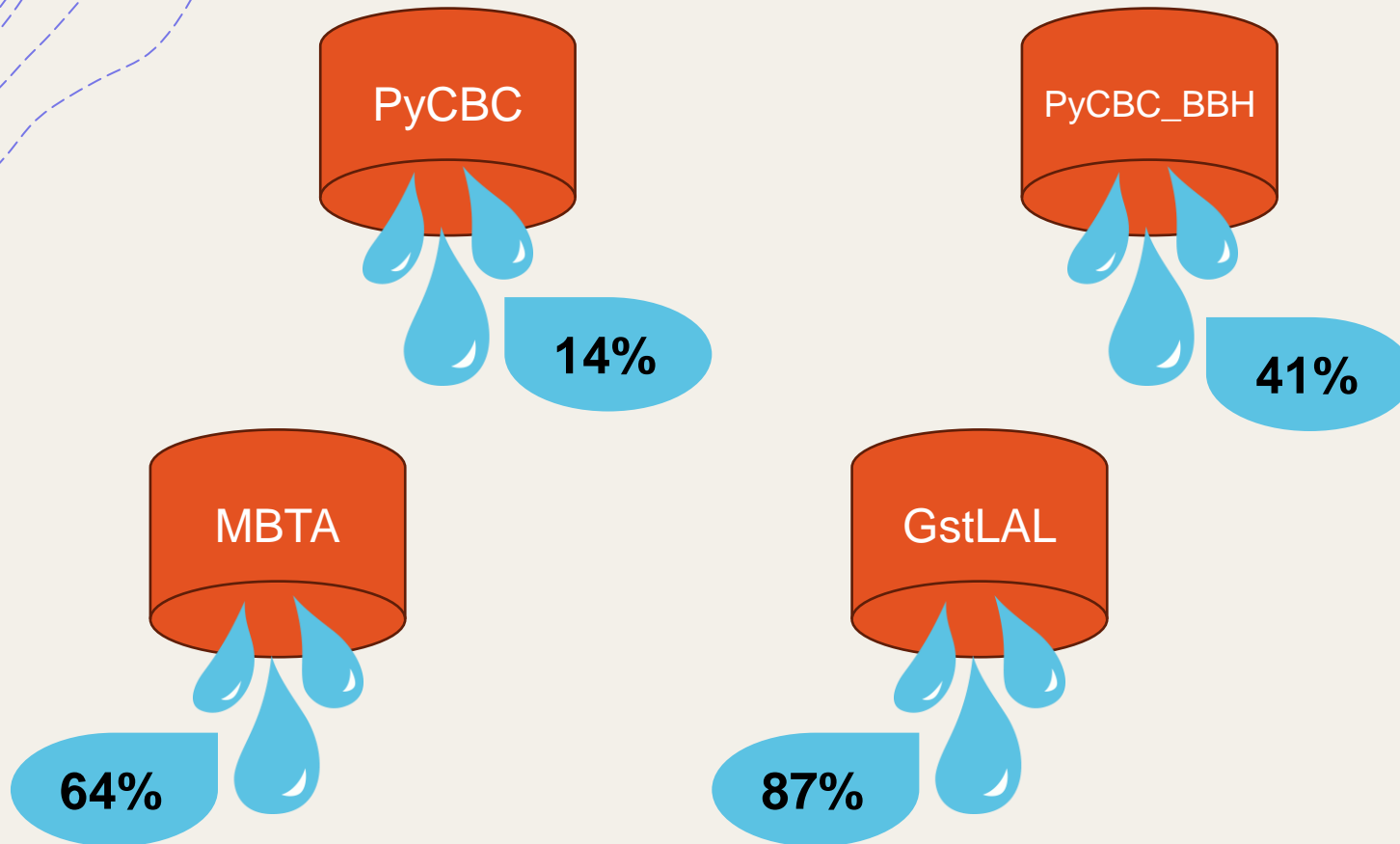
PyCBC\_BBH

MBTA

GstLAL

# Search Pipelines

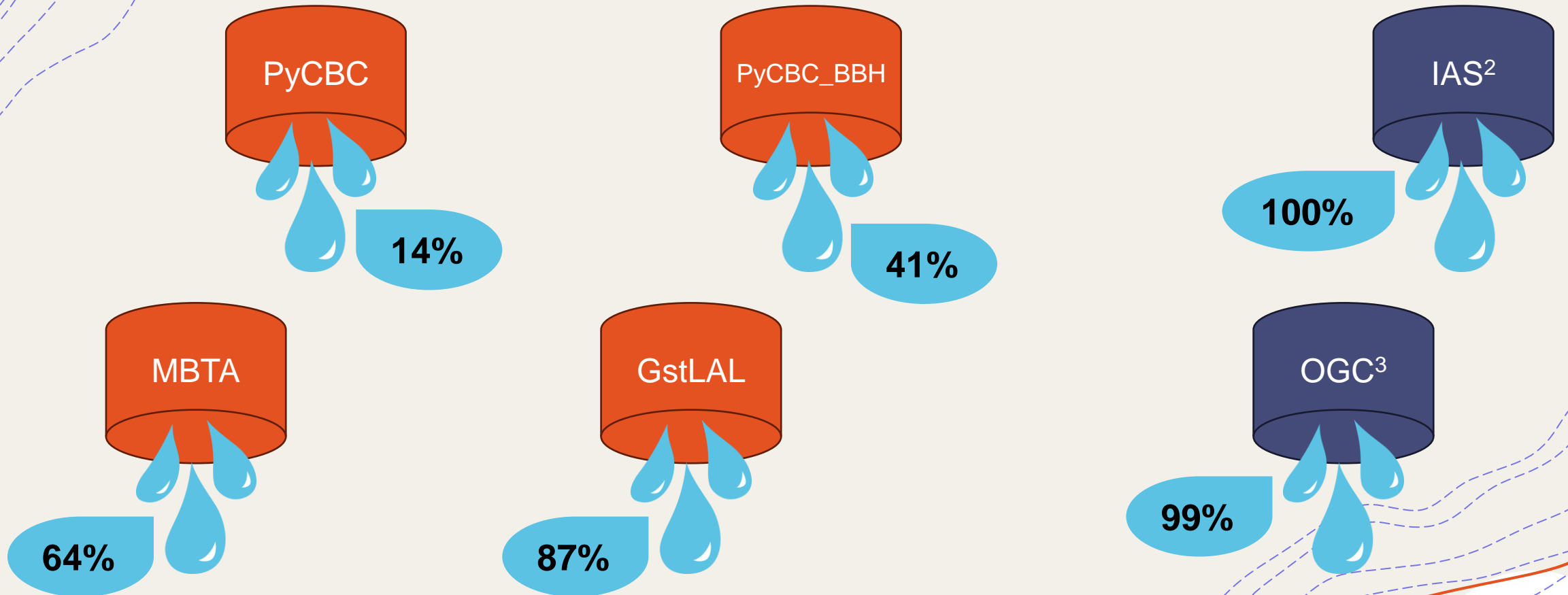
GW190929\_012149<sup>1</sup>



<sup>1</sup>: GWTC-2.1, LIGO, VIRGO, 2021

# Search Pipelines

GW190929\_012149<sup>1</sup>

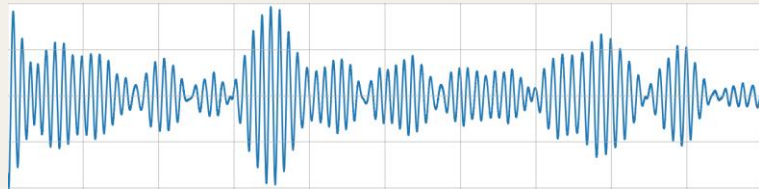


1: GWTC-2.1, LIGO, VIRGO, 2021; 2: OLSEN ET AL, 2021; 3: NITZ ET AL, 2021

What is a good way to combine results from multiple pipelines and what can we learn from it?

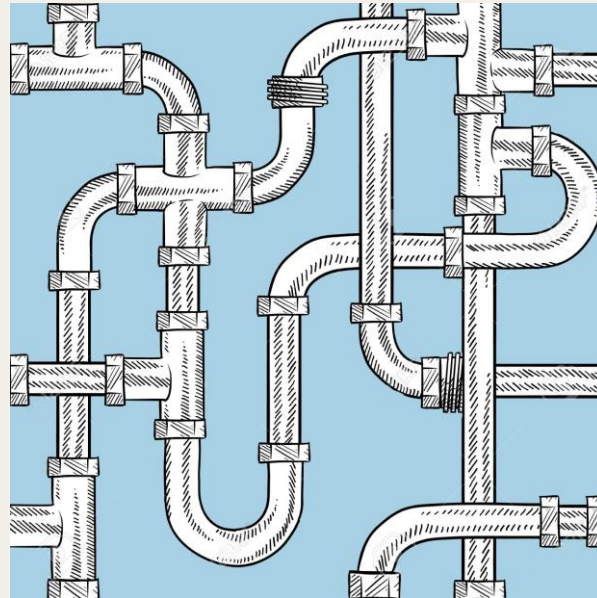


# Macroscopic Description of a Pipeline

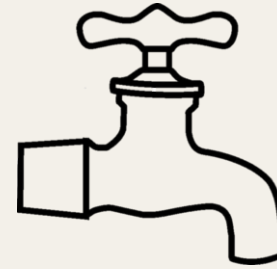


Strain Data

Signal and Noise Models



Search Algorithm



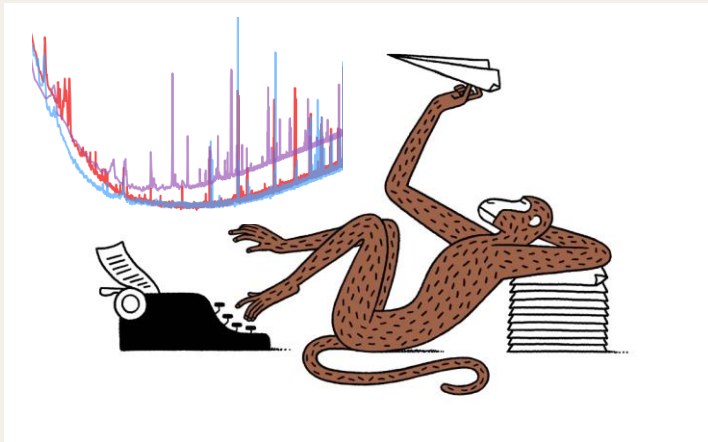
- Triggers
- False alarm rate
- $\rho_{\text{astro}}$



# Measures of Candidate Significance

## False Alarm Rate (FAR)

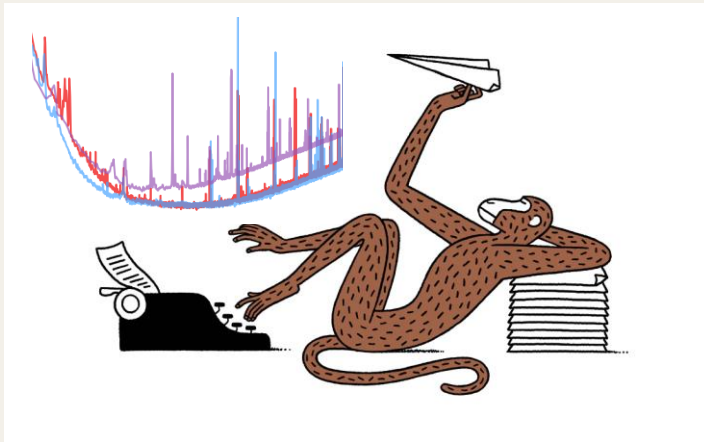
How regularly we would expect to see a noise event with the same, or higher, ranking statistic as the candidate.



# Measures of Candidate Significance

## False Alarm Rate (FAR)

How regularly we would expect to see a noise event with the same, or higher, ranking statistic as the candidate.



## $p_{\text{astro}}$

Probability that a GW candidate has astrophysical origin and is not caused by terrestrial noise.

$$p_{\text{astro}} = \frac{\mathcal{L}_{\text{astro}}}{\mathcal{L}_{\text{astro}} + \mathcal{L}_{\text{noise}}}$$

# Calculating Combined Significance

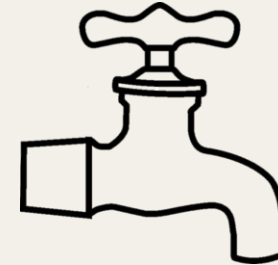
Pipeline 1



Pipeline 2

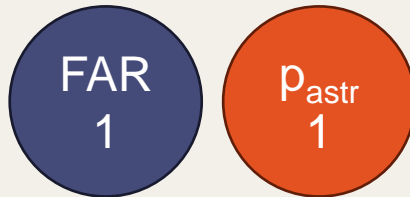


Pipeline 3

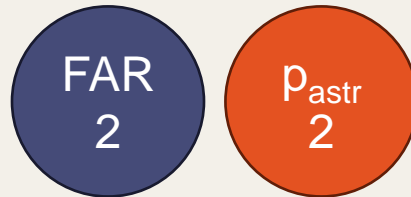


# Calculating Combined Significance

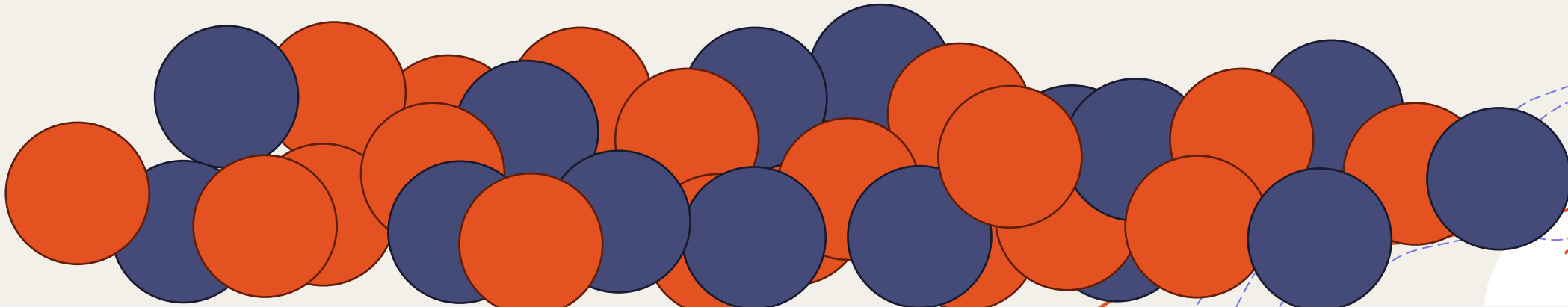
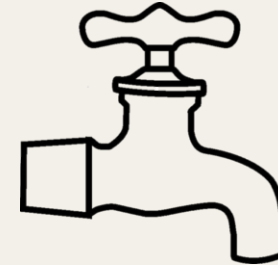
Pipeline 1



Pipeline 2



Pipeline 3



# Calculating Combined Significance



## Method 1. Combine FARs by applying the trials factor

- Calculate combined FAR for each candidate as

$$\text{FAR}_{\text{trials}} = \min(\text{FAR}_1, \text{FAR}_2, \dots, \text{FAR}_N) \times N$$

- Calculate combined  $p_{\text{astro}}$  using the combined FAR distribution



# Calculating Combined Significance



## Method 2. Combine FARs by calculating a harmonic mean

- Calculate combined FAR for each candidate as

$$\frac{1}{FAR_{har}} = \frac{1}{N} \left( \frac{1}{FAR_1} + \frac{1}{FAR_2} + \dots + \frac{1}{FAR_N} \right)$$

- Calculate combined  $p_{astro}$  using the combined FAR distribution



# Calculating Combined Significance



## Method 2. Combine FARs by calculating a harmonic mean

- Calculate combined FAR for each candidate as

$$\frac{1}{FAR_{har}} = \frac{1}{N} \left( \frac{1}{FAR_1} + \frac{1}{FAR_2} + \dots + \frac{1}{FAR_N} \right)$$

The equation shows the harmonic mean of FAR values. The terms  $FAR_1$ ,  $FAR_2$ , and  $FAR_N$  are represented by blue circles in the original image.

- Calculate combined  $p_{astro}$  using the combined FAR distribution

$p_{astrohar}$

The combined  $p_{astro}$  value is represented by a red circle in the original image.

Best Choice  
for  
Dependent  
Tests<sup>4</sup>

A starburst shape containing the text "Best Choice for Dependent Tests".

# Calculating Combined Significance



**Method 3. Find maximum  $p_{astro}$**

$$P_{astr\ max} = \max(p_{astr\ 1}, p_{astr\ 2}, \dots, p_{astr\ N})$$

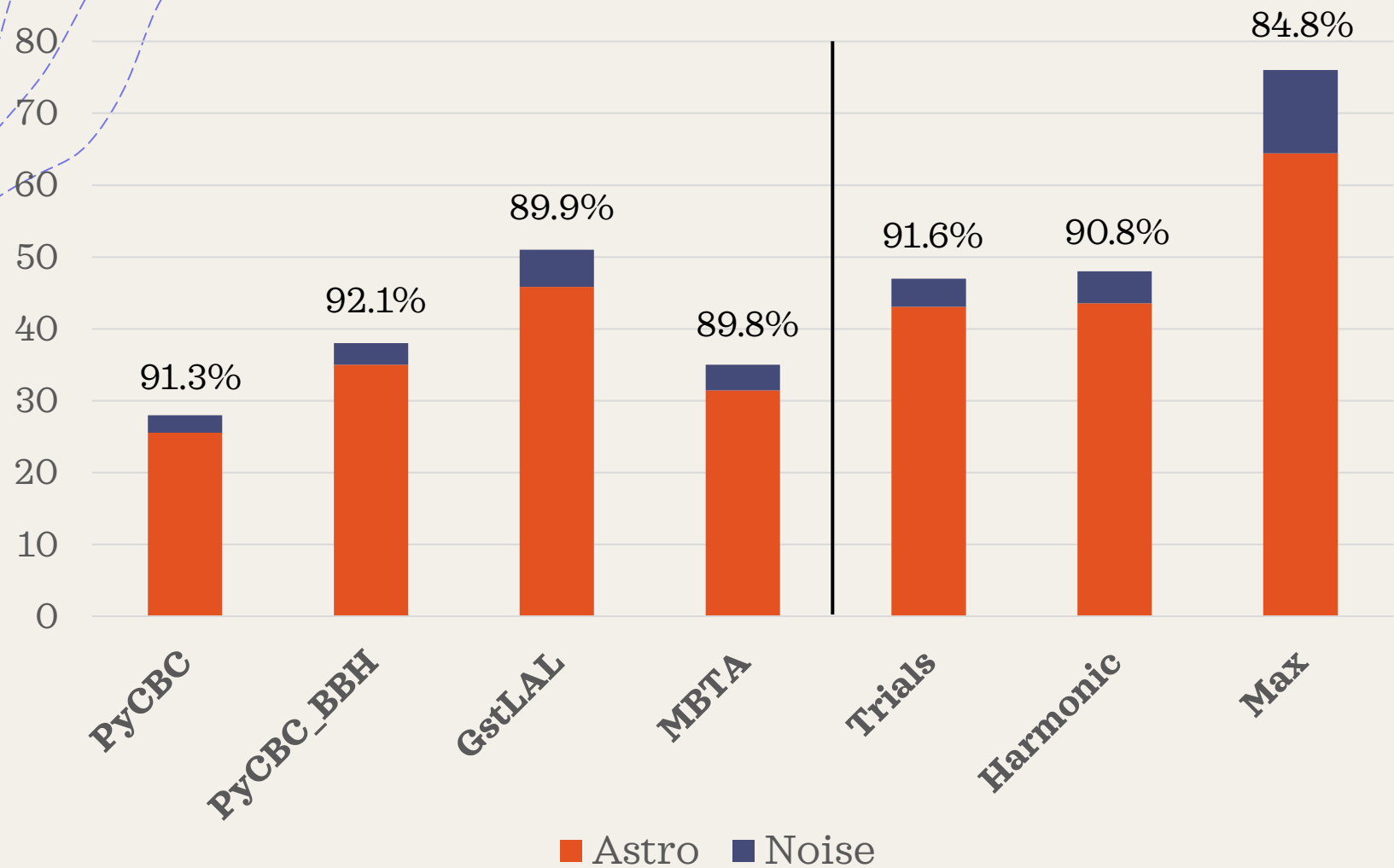




# Results

# $P_{\text{astro}}$ Results with O3a Data

Number of events with  $p_{\text{astro}} > 0.5$

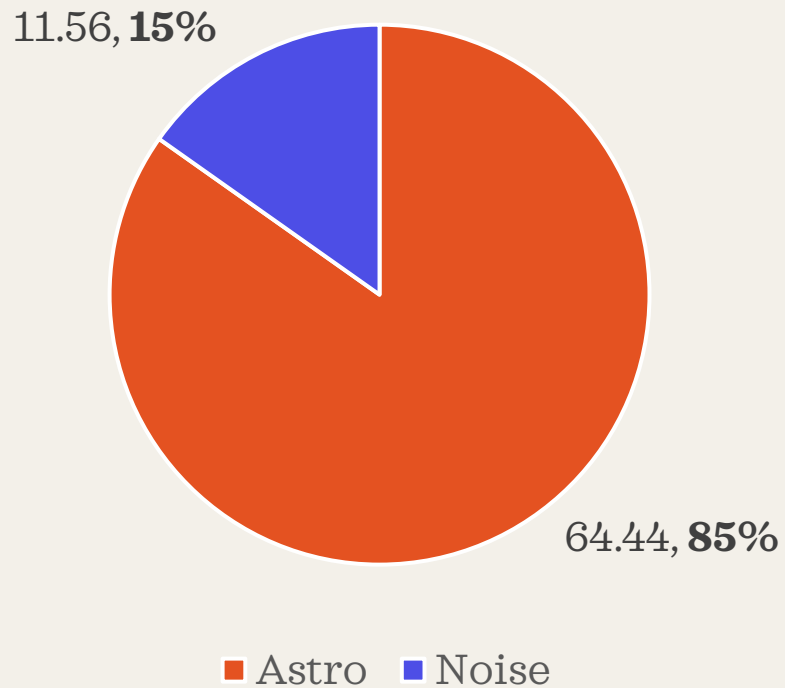


- **Number** = Astro + Noise
- **Astro** = the sum of all  $p_{\text{astro}}$  values
- **Noise** = Total – Astro
- Purity = Astro/Total (%)

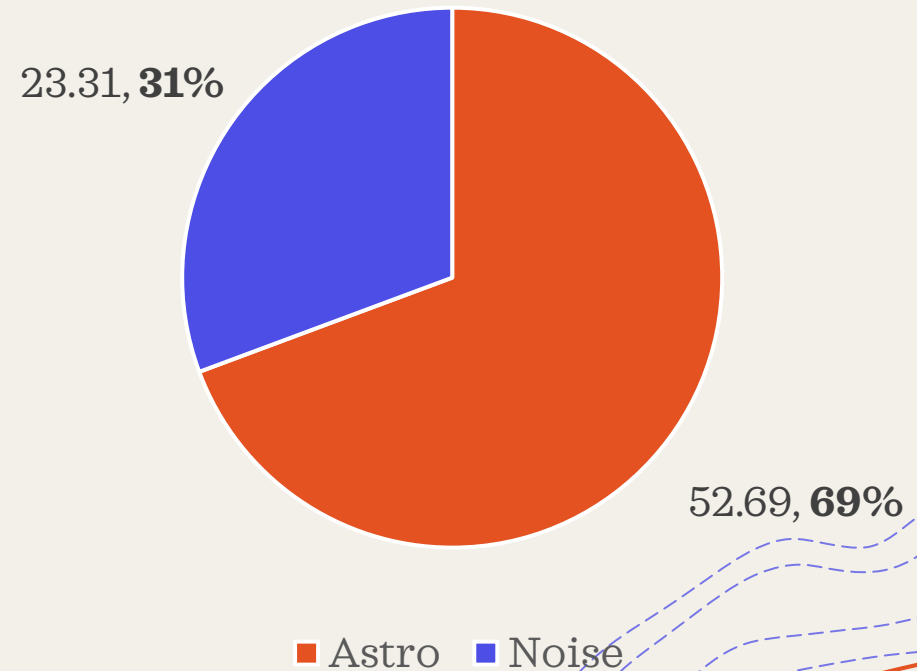
# Purity Is Likely Overestimated

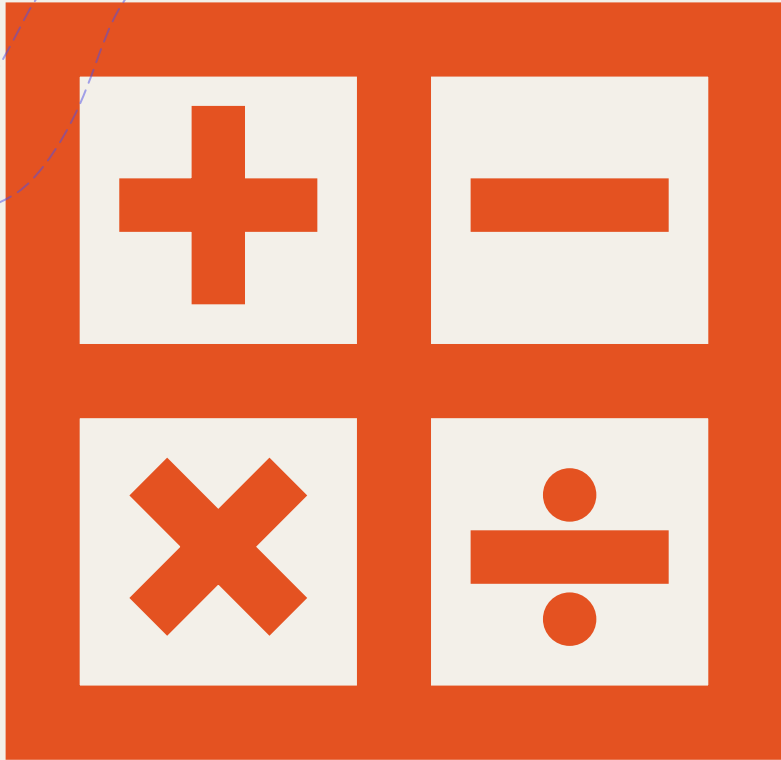
76 events for which  $\max p_{\text{astro}} > 0.5$

Sum of Max p-values



Sum of Harmonic p-values

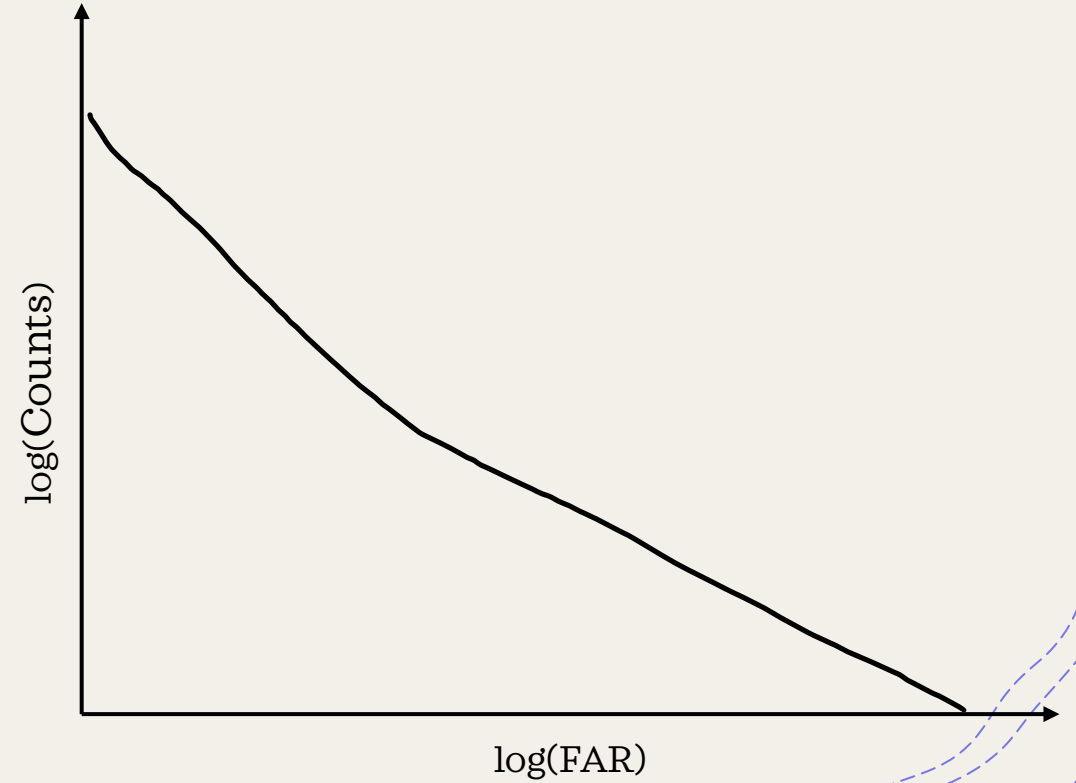




How did we  
calculate  $p_{\text{astro}}$ ?

# $P_{\text{astro}}$ Calculation

$$p(\Lambda_s, \Lambda_n | \{x\})$$



# P<sub>astro</sub> Calculation

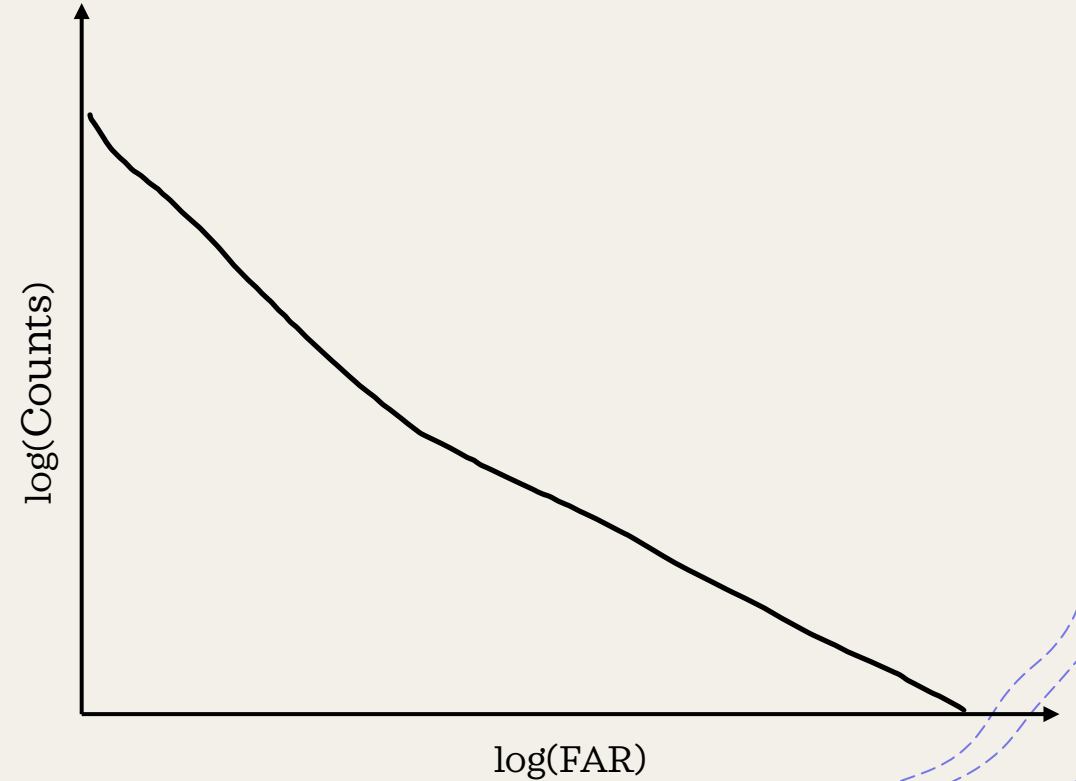
signal counts

noise counts

$$p(\Lambda_s, \Lambda_n | \{x\}) = \prod_{i=1}^N [\Lambda_s f(x_i) + \Lambda_n b(x_i)] e^{-(\Lambda_s + \Lambda_n)} \quad [5]$$

foreground model

background model



# $\mathcal{P}_{astro}$ Calculation

signal counts

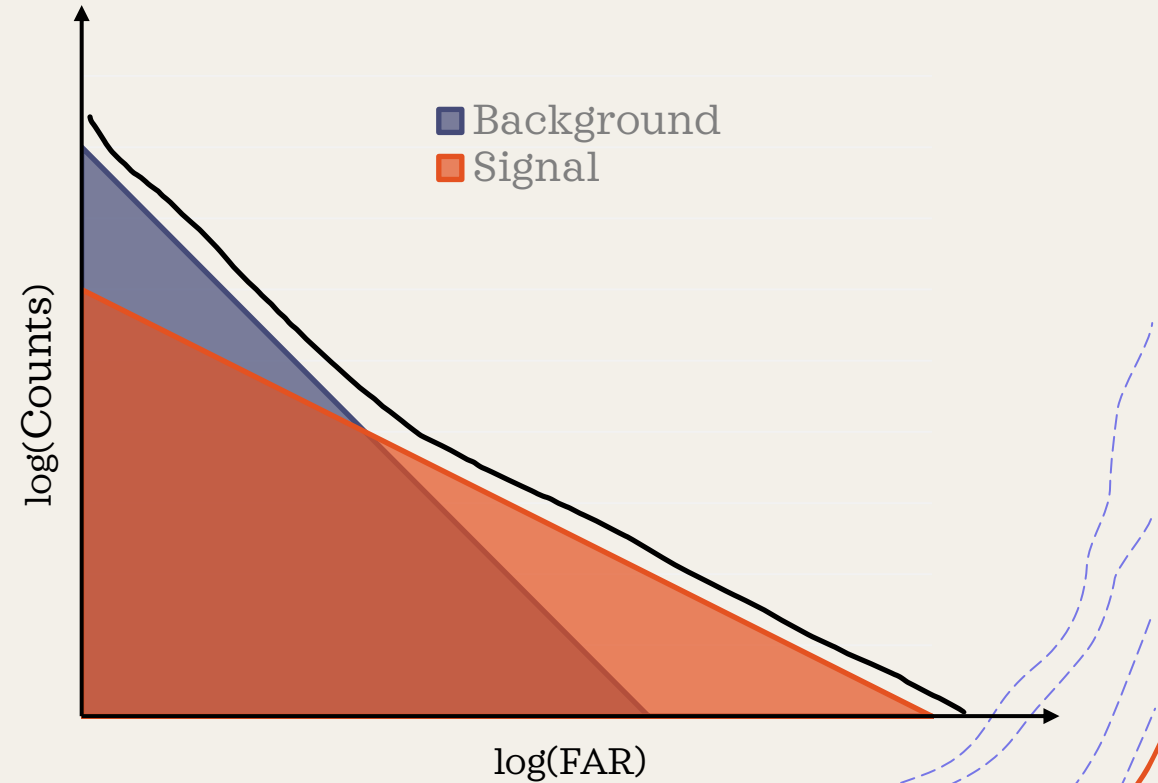
noise counts

$$p(\Lambda_s, \Lambda_n | \{x\}) = \prod_{i=1}^N [\Lambda_s f(x_i) + \Lambda_n b(x_i)] e^{-(\Lambda_s + \Lambda_n)} \quad [5]$$

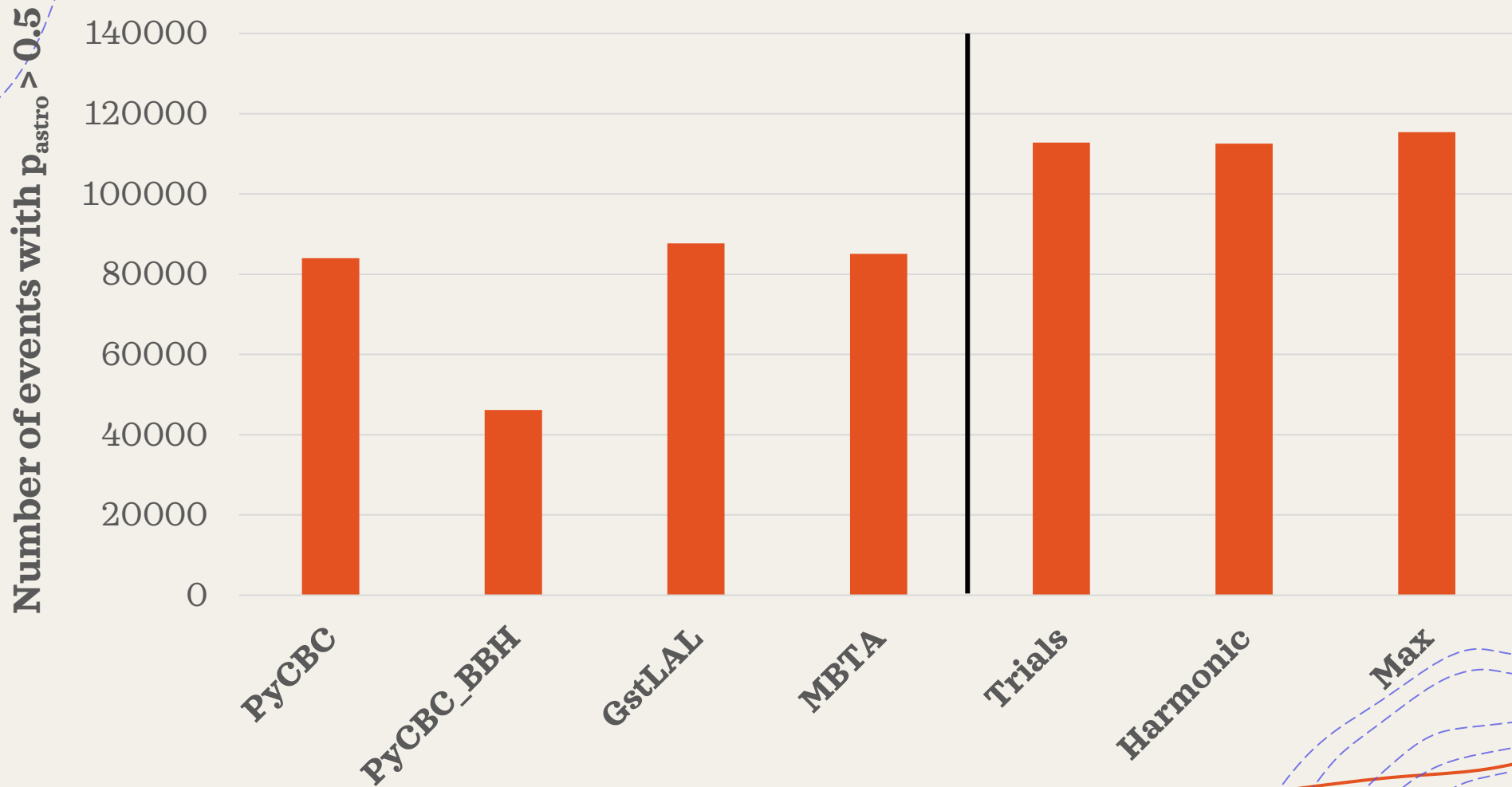
foreground model

background model

$$\mathcal{P}_{astro}(FAR) = \frac{\Lambda_s f(FAR)}{\Lambda_s f(FAR) + \Lambda_n b(FAR)}$$



# $p_{\text{astro}}$ Results With Injections





Which pipelines  
contribute to the  
combination the  
most?



# Optimal FAR Combinations for Different Number of Pipelines: Injections

**Number of events with FAR < 1 yr<sup>-1</sup>**

**1 pipeline**

Pipeline	Events Detected
PyCBC	74619
PyCBC_BBH	38673
<b>GstLAL</b>	<b>77289</b>
MBTA	71454
CWB	18260

**2 pipelines**

<b>PyCBC_BBH</b>	85661			
<b>GstLAL</b>	<b>86787</b>	85920		
<b>MBTA</b>	79768	83633	86004	
<b>CWB</b>	76715	86004	78127	71763
	<b>PyCBC</b>	<b>PyCBC_BBH</b>	<b>GstLAL</b>	<b>MBTA</b>

$$\frac{1}{FAR_{gp}} = \frac{1}{2} \left( \frac{1}{FAR_g} + \frac{1}{FAR_p} \right)$$

# Optimal FAR Combinations: Injections

Number of events with FAR < 1 yr<sup>-1</sup>



**g** = GstLAL

**p** = PyCBC

**b** = PyCBC\_BBH

**m** = MBTA

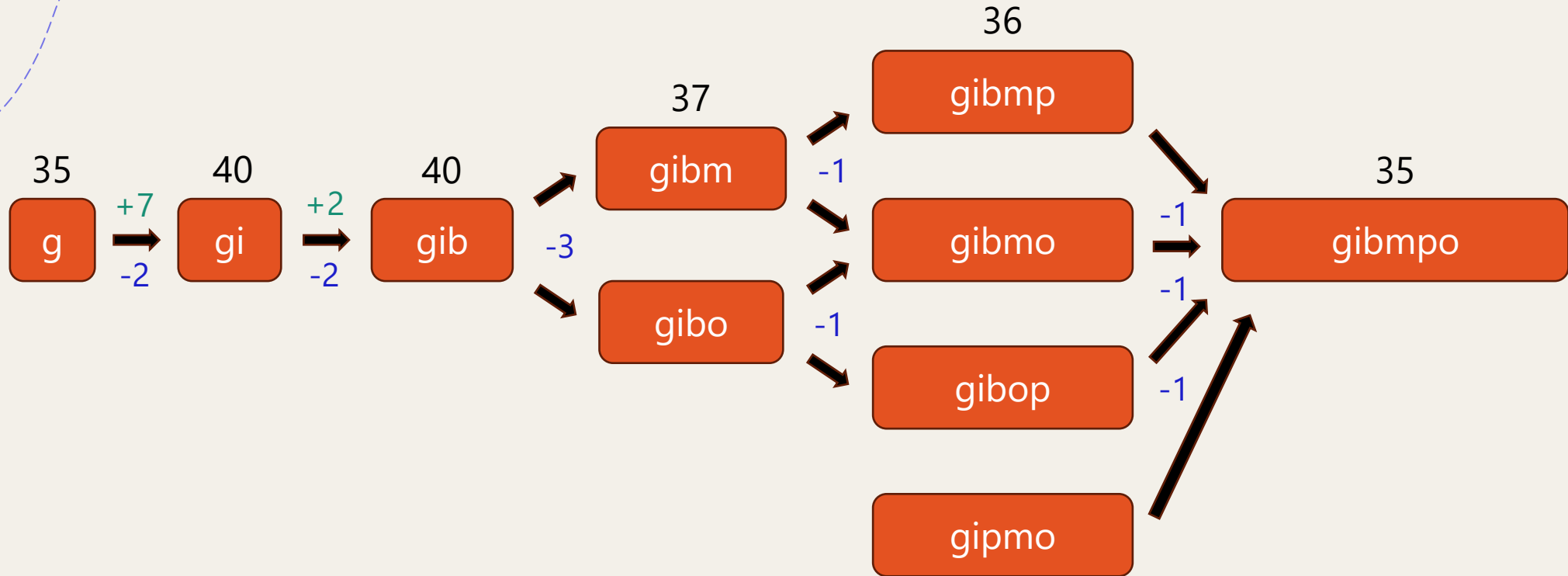
**c** = cWB

$$\frac{1}{FAR_{gpb}} = \frac{1}{3} \left( \frac{1}{FAR_g} + \frac{1}{FAR_p} + \frac{1}{FAR_b} \right)$$

...

$$\frac{1}{FAR_{gpbmc}} = \frac{1}{3} \left( \frac{1}{FAR_g} + \frac{1}{FAR_p} + \frac{1}{FAR_b} + \frac{1}{FAR_m} + \frac{1}{FAR_c} \right)$$

# Optimal Pipeline Combinations: O3a



**g** = GstLAL, **p** = PyCBC, **b** = PyCBC\_BBH, **m** = MBTA, **i** = IAS, **o** = OGC

# Conclusions

- + Combining results from multiple search pipelines increases the number of detected events in the injection sets.
- + Applying the trials factor or calculating the harmonic mean FAR leads to higher purity of  $p_{\text{astro}}$  results as compared to using the maximum  $p_{\text{astro}}$  for real data.
- + Purity of GWTC catalogs is likely overestimated.

# Acknowledgements

- + My mentor Derek Davis
- + LIGO Lab
- + Caltech Student-Faculty Programs
- + National Science Foundation
- + SURF 2023 Students



Caltech



The background features a light beige gradient with several wavy, dashed blue lines that create a sense of movement and depth. There are also two solid white circles: one in the top-left corner and another in the bottom-right corner. A solid orange line curves along the bottom edge of the page.

**Thank you!**

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